

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>LSV Associates, LLC</u> (Last) (First) (Middle) C/O LSV CAPITAL MANAGEMENT, LLC 2884 SAND HILL ROAD, SUITE 121 (Street) MENLO PARK CA 94025 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>ALX ONCOLOGY HOLDINGS INC [ALXO]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)
	3. Date of Earliest Transaction (Month/Day/Year) 12/08/2021	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/08/2021		S		35,836	D	\$33.23 ⁽¹⁾	2,232,251	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/08/2021		S		4,883	D	\$33.23 ⁽¹⁾	304,339	I	By Lightstone Ventures (A), L.P. ⁽³⁾
Common Stock	12/08/2021		S		1,531	D	\$33.8 ⁽⁴⁾	2,230,720	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/08/2021		S		208	D	\$33.8 ⁽⁴⁾	304,131	I	By Lightstone Ventures (A), L.P. ⁽³⁾
Common Stock	12/09/2021		S		11,452	D	\$31.08 ⁽⁵⁾	2,219,268	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/09/2021		S		1,560	D	\$31.08 ⁽⁵⁾	302,571	I	By Lightstone Ventures (A), L.P. ⁽³⁾
Common Stock	12/09/2021		S		42,203	D	\$32.06 ⁽⁶⁾	2,177,065	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/09/2021		S		5,751	D	\$32.06 ⁽⁶⁾	296,820	I	By Lightstone Ventures (A), L.P. ⁽³⁾
Common Stock	12/09/2021		S		14,028	D	\$32.73 ⁽⁷⁾	2,163,037	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/09/2021		S		1,911	D	\$32.73 ⁽⁷⁾	294,909	I	By Lightstone Ventures (A), L.P. ⁽³⁾

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/10/2021		S		7,093	D	\$30.77 ⁽⁸⁾	2,155,944	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/10/2021		S		967	D	\$30.77 ⁽⁸⁾	293,942	I	By Lightstone Ventures (A), L.P. ⁽³⁾
Common Stock	12/10/2021		S		15,942	D	\$31.55 ⁽⁹⁾	2,140,002	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/10/2021		S		2,172	D	\$31.55 ⁽⁹⁾	291,770	I	By Lightstone Ventures (A), L.P. ⁽³⁾
Common Stock	12/10/2021		S		88	D	\$32.16	2,139,914	I	By Lightstone Ventures, L.P. ⁽²⁾
Common Stock	12/10/2021		S		12	D	\$32.16	291,758	I	By Lightstone Ventures (A), L.P. ⁽³⁾
Common Stock								2,023,469	I	By Lightstone Ventures II, L.P. ⁽¹⁰⁾
Common Stock								120,212	I	By Lightstone Ventures II (A), L.P. ⁽¹¹⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		(A)	(D)					

1. Name and Address of Reporting Person*

[LSV Associates, LLC](#)

(Last) (First) (Middle)
C/O LSV CAPITAL MANAGEMENT, LLC
2884 SAND HILL ROAD, SUITE 121

(Street)
MENLO PARK CA 94025

(City) (State) (Zip)

1. Name and Address of Reporting Person*

[Lightstone Ventures, L.P.](#)

(Last) (First) (Middle)
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2884 SAND HILL ROAD, SUITE 121

(Street)

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(City)

(State)

(Zip)

1. Name and Address of Reporting Person*

[Lightstone Ventures \(A\), L.P.](#)

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2884 SAND HILL ROAD, SUITE 121

(Street)

MENLO PARK CA 94025

(City)

(State)

(Zip)

1. Name and Address of Reporting Person*

[LSV Associates II, LLC](#)

(Last)

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(Middle)

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(Street)

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(City)

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1. Name and Address of Reporting Person*

[Lightstone Ventures II, L.P.](#)

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(First)

(Middle)

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(State)

(Zip)

1. Name and Address of Reporting Person*

[Lightstone Ventures II \(A\), L.P.](#)

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(First)

(Middle)

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(City)

(State)

(Zip)

1. Name and Address of Reporting Person*

[Carusi Michael A](#)

(Last)

(First)

(Middle)

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(Street)

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(City)

(State)

(Zip)

1. Name and Address of Reporting Person*

[George Jean](#)

(Last)

(First)

(Middle)

C/O LSV CAPITAL MANAGEMENT, LLC
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(Street)

MENLO PARK CA 94025

(City)

(State)

(Zip)

1. Name and Address of Reporting Person *

Plain Henry A JR

(Last)

(First)

(Middle)

C/O LSV CAPITAL MANAGEMENT, LLC
2884 SAND HILL ROAD, SUITE 121

(Street)

MENLO PARK CA 94025

(City)

(State)

(Zip)

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$32.70 to \$33.69 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
2. Shares are held directly by Lightstone Ventures, L.P. ("LV LP"). LSV Associates, LLC (LSV Associates), is the general partner of LV LP and may be deemed to beneficially own the shares held by LV LP. Michael A. Carusi, Jean M. George and Henry A. Plain, Jr. are the managing directors of LSV Associates and may be deemed to share voting and investment power over the shares held by LV LP. Each of LSV Associates and Messrs. Carusi, George and Plain disclaims beneficial ownership of these shares except to the extent of its, his or her respective pecuniary interest therein.
3. Shares are held directly by Lightstone Ventures (A), L.P. ("LV(A) LP"). LSV Associates is the general partner of LV(A) LP and may be deemed to beneficially own the shares held by LV(A) LP. Michael A. Carusi, Jean M. George and Henry A. Plain, Jr. are the managing directors of LSV Associates and may be deemed to share voting and investment power over the shares held by LV(A) LP. Each of LSV Associates and Messrs. Carusi, George and Plain disclaims beneficial ownership of these shares except to the extent of its, his or her respective pecuniary interest therein.
4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.70 to \$33.92 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.50 to \$31.49 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.50 to \$32.49 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$32.50 to \$33.25 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.12 to \$31.09 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.15 to \$32.01 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
10. Shares are held directly by Lightstone Ventures II, L.P. ("LV II LP"). LSV Associates II, LLC (LSV Associates II), is the general partner of LV II LP and may be deemed to beneficially own the shares held by LV II LP. Michael A. Carusi, Jean M. George, Jason W. Lettmann and Henry A. Plain, Jr. are the managing directors of LSV Associates II and may be deemed to share voting and investment power over the shares held by LV II LP. Each of LSV Associates II and Messrs. Carusi, George and Plain disclaims beneficial ownership of these shares except to the extent of its, his or her respective pecuniary interest therein. Mr. Lettmann is a director of the Issuer and files separate Section 16 reports.
11. Shares are held directly by Lightstone Ventures II (A), L.P. ("LV II(A) LP"). LSV Associates II, is the general partner of LV II(A) LP and may be deemed to beneficially own the shares held by LV II(A) LP. Michael A. Carusi, Jean M. George, Jason W. Lettmann and Henry A. Plain, Jr. are the managing directors of LSV Associates II and may be deemed to share voting and investment power over the shares held by LV II(A) LP. Each of LSV Associates II and Messrs. Carusi, George and Plain disclaims beneficial ownership of these shares except to the extent of its, his or her respective pecuniary interest therein. Mr. Lettmann is a director of the Issuer and files separate Section 16 reports.

Remarks:

[LSV Associates, LLC, By /s/
Travis Boettner, Attorney-in-
Fact](#) [12/10/2021](#)

[Lightstone Ventures, L.P., By:
LSV Associates, LLC, its
general partner, By /s/ Travis
Boettner, Attorney-in-Fact](#) [12/10/2021](#)

[Lightstone Ventures \(A\), L.P.,
By: LSV Associates, LLC, its
general partner, By /s/ Travis
Boettner, Attorney-in-Fact](#) [12/10/2021](#)

[LSV Associates II, LLC, By
/s/ Travis Boettner, Attorney-
in-Fact](#) [12/10/2021](#)

[Lightstone Ventures II, L.P.,
By: LSV Associates II, LLC,
its general partner, By /s/
Travis Boettner, Attorney-in-
Fact](#) [12/10/2021](#)

[Lightstone Ventures II \(A\),
L.P., By: LSV Associates II,
LLC, its general partner, By
/s/ Travis Boettner, Attorney-
in-Fact](#) [12/10/2021](#)

[Michael A. Carusi, By /s/](#) [12/10/2021](#)

Travis Boettner, Attorney-in-Fact

Jean M. George, By /s/ Travis Boettner, Attorney-in-Fact 12/10/2021

Henry A. Plain, Jr., By /s/ Travis Boettner, Attorney-in-Fact 12/10/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.